

03-02-07

Ifu

EXPRESS MAIL NO. EV887975570US



TRANSMITTAL FORM

(To be used for all correspondence
after initial filing)

Application Number	10/613,525
Filing Date	July 3, 2003
First Named Inventor	Hiroyasu Inoue
Art Unit	1774
Examiner Name	Bruce H. Hess
Attorney Docket No.	890050.433

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement and Transmittal <input type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (<i>Appeal Notice, Brief, Reply Brief</i>) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input checked="" type="checkbox"/> Other Enclosure(s) (<i>please identify below</i>): <u>Copy of IDS originally submitted January 21, 2005, including cited references (35); Copy of Return Receipt Postcard for same</u>
---	---	---

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Seed Intellectual Property Law Group PLLC	Customer Number	00500
Signature			
Printed Name	Raymond W. Armentrout		
Date	February 28, 2007	Reg. No.	45,866

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Signature	** SENT VIA EXPRESS MAIL **		
Typed or printed name		Date:	

SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
911587_1.DOC



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hiroyasu Inoue et al.
Application No. : 10/613,525
Filed : July 3, 2003
For : OPTICAL RECORDING MEDIUM AND METHOD FOR
RECORDING AND REPRODUCING DATA

Examiner : Bruce H. Hess
Art Unit : 1774
Docket No. : 890050.433
Date : February 28, 2007

Mail Stop AF
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESUBMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
TRANSMITTAL

Commissioner for Patents:

In a telephone message to Examiner Hess on February 12, 2007, Applicants' Attorney Raymond W. Armentrout inquired as to whether the Supplemental Information Disclosure Statement (IDS) submitted on January 21, 2005, to the U.S. Patent Office was of record. The IDS was not showing up in the Image Wrapper on the PAIR system. Examiner Hess responded to Mr. Armentrout on February 15, 2007, leaving a phone message indicating that the subject IDS seemed to be lost and was not of record.

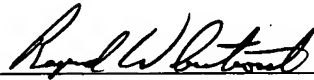
Accordingly, Applicants are submitting a copy of the Supplemental Information Disclosure Statement originally submitted on January 21, 2005, including a copy of the return receipt postcard indicating receipt by the U.S. Patent Office. This copy of the Supplemental Information Disclosure Statement is submitted in advance of Applicants' response to the Final Office Action mailed January 26, 2006, to provide time for the Examiner to consider the art referenced in the copy of the Supplemental Information Disclosure Statement. In the event that

the Examiner wishes to revise and reissue the Final Office Action based upon the art referenced in the copy of the Supplemental Information Disclosure Statement, Applicants respectfully request the Examiner to notify the Applicants' undersigned attorney by phone.

Please acknowledge receipt of this Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicants believe the originally-filed Supplemental Information Disclosure Statement was timely filed and that no fees are due at this time. However, the Director is authorized to charge any fee due by way of this Supplemental Information Disclosure Statement to our Deposit Account No. 19-1090.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC



Raymond W. Armentrout
Registration No. 45,866

RWA:jr

Enclosures:

Copy of IDS originally submitted January 21, 2005, including cited references (35)
Copy of Return Receipt Postcard for same

701 Fifth Avenue, Suite 5400
Seattle, Washington 98104
Phone: (206) 622-4900
Fax: (206) 682-6031

890050.433 / 911569_1.DOC



TRANSMITTAL FORM

(To be used for all correspondence
after initial filing)

Application Number	10/608,814
Filing Date	July 3, 2003
First Named Inventor	Hiroyasu Inoue
Art Unit	2655
Examiner Name	
Attorney Docket No.	890050.433

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement; Form PTO-1449 <input checked="" type="checkbox"/> Cited References <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts under 37 C.F.R. 1.52 or 1.53 <input type="checkbox"/> Response to Missing Parts/Incomplete Application	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Request for Corrected Filing Receipt <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation, Change of Correspondence Address <input type="checkbox"/> Declaration <input type="checkbox"/> Statement under 37 CFR 3.73(b) <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (<i>Appeal Notice, Brief, Reply Brief</i>) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Return Receipt Postcard <input type="checkbox"/> Other Enclosure(s) (<i>please identify below</i>): _____ _____ _____ _____
---	---	---

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Seed Intellectual Property Law Group PLLC		Customer Number
			00500
Signature			
Printed Name	Hai Han, Ph.D.		
Date	January 21, 2005	Reg. No.	54,150

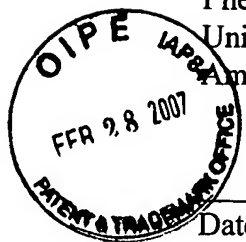
CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below

Signature		
Typed or printed name	Jason Añover	Date: January 21, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Date

January 21, 2005

Jason Añover

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hiroyasu Inoue et al.
 Application No. : 10/613,525
 Filed : July 3, 2003
 For : OPTICAL RECORDING MEDIUM AND METHOD FOR
 RECORDING AND REPRODUCING DATA

Art Unit : 2655
 Docket No. : 890050.433
 Date : January 21, 2005

Mail Stop Amendment
 Commissioner for Patents
 P.O. Box 1450
 Alexandria, VA 22313-1450

SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents:


In accordance with 37 CFR 1.56 and 1.97 through 1.98, applicants wish to make known to the U.S. Patent and Trademark Office the references set forth on the attached Form PTO-1449. Copies of the cited U.S. patents and published patent applications are not required and accordingly have not been provided. Copies of all other cited references are enclosed. As to any reference cited, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserves the right to traverse or antedate any such reference, as by a showing under 37 CFR 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicants' duty to disclose all information they are aware of which is believed relevant to the examination of the above-identified application, applicants believe that their invention is patentable.

Applicant wishes to disclose and have officially considered the following co-pending related applications by the same assignee: Application No. 10/406,109, filed April 2, 2003, entitled "OPTICAL RECORDING MEDIUM AND METHOD FOR OPTICALLY RECORDING INFORMATION IN THE SAME", Application No. 10/423,686, filed April 25, 2003, entitled "OPTICAL RECORDING MEDIUM AND METHOD FOR OPTICALLY RECORDING DATA IN THE SAME", Application No. 10/425,571, filed April 29, 2003, entitled "OPTICAL RECORDING MEDIUM AND METHOD FOR OPTICALLY RECORDING DATA IN THE SAME", Application No. 10/444,172, filed May 23, 2003, entitled "OPTICAL RECORDING MEDIUM AND METHOD FOR RECORDING DATA IN THE SAME", Application No. 10/608,814 filed June 27, 2003, entitled "OPTICAL RECORDING MEDIUM AND METHOD FOR OPTICALLY RECORDING DATA IN THE SAME", Application No. 10/612,615, filed July 1, 2003, entitled "OPTICAL RECORDING MEDIUM", Application No. 10/637,407, filed August 7, 2003, entitled "OPTICAL RECORDING MEDIUM AND METHOD FOR OPTICALLY RECORDING DATA IN THE SAME", Application No. 10/764,805, filed January 26, 2004, entitled "OPTICAL RECORDING MEDIUM", and Application No. 10/818,324, filed April 5, 2004, entitled "OPTICAL RECORDING DISK".

Please acknowledge receipt of this Second Supplemental Information Disclosure Statement and kindly make the cited references of record in the above-identified application.

Applicants believe this Second Supplemental Information Disclosure Statement has been timely filed, however, the Director is authorized to charge any fee due by way of this Information Disclosure Statement to our Deposit Account No. 19-1090.

Respectfully submitted,
Seed Intellectual Property Law Group PLLC



Hai Han, Ph.D.
Registration No. 54,150

Enclosures:
Postcard
Form PTO-1449
Cited References (35)

701 Fifth Avenue, Suite 6300
Seattle, Washington 98104-7092
Phone: (206) 622-4900
Fax: (206) 682-6031

552587

FORM PTO-1449

(REV. 2-80)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

890050.433

APPLICATION NO.

10/613,525

INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

APPLICANTS

Hiroyasu Inoue et al.

FILING DATE

July 3, 2003

GROUP ART UNIT

2655

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	3,959,799	05/25/76	Gambino et al.	346	135	
	AB	4,357,616	11/02/82	Terao et al.	346	135.1	
	AC	4,477,819	10/16/84	Lee et al.	346	76 L	
	AD	4,587,533	05/06/86	Nakane et al.	346	135.1	
	AE	4,647,947	03/03/87	Takeoka	346	135.1	
	AF	4,670,345	06/02/87	Morimoto	428	411.1	
	AG	4,771,413	09/13/88	Nago	369	109	
	AH	4,772,897	09/20/88	Ohkawa	346	135.1	
	AI	5,208,088	05/04/93	Tominaga et al.	428	64	
	AJ	5,297,132	03/22/94	Takano et al.	369	284	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AK	57-022095	02/04/82	JP (Abstract in English only)		
	AL	57-027788	02/15/82	JP (+ Abstract in English)		
	AM	58-220794	12/22/83	JP (+ Abstract in English)		
	AN	59-225992	12/19/84	JP (+ Abstract in English)		
	AO	60-160036	08/21/85	JP (+ Abstract in English)		
	AP	0 068 801 B1	09/10/86	EP		

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	AQ	Inoue, H., et al., "Inorganic Write-Once Disc for High Speed Recording," Jpn. J. Appl. Phys. Vol. 42, part 1, no. 2B, pp. 1056-1061, February 2003.
	AR	Yoshii, K., et al., "Investigation of Hole Formation on Cr/Al, Si/Al, and C/Al Bilayer Films by Laser Beam Irradiation," <i>Journal of Applied Physics</i> 55(1):223-229, January 1, 1984.

EXAMINER

DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

FORM PTO-1449
(REV.7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
890050.433APPLICATION NO.
10/613,525INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

APPLICANTS

Hiroyasu Inoue et al.

FILING DATE

July 3, 2003

GROUP ART UNIT

2655

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	BA	5,401,330	03/28/95	Saito et al.	136	259	
	BB	5,458,941	10/17/95	Hintz	428	64.4	
	BC	5,656,370	08/12/97	Murakami et al.	428	332	
	BD	5,912,104	06/15/99	Hirotsune et al.	430	270.13	
	BE	6,033,752	03/07/00	Suzuki et al.	428	64.1	
	BF	6,096,399	08/01/00	Yoshinari et al.	428	64.1	
	BG	6,143,469	11/07/00	Ohta et al.	430	270.13	
	BH	6,210,860	04/03/01	Fukano et al.	430	270.12	
	BI	6,254,966	07/03/01	Kondo	428	156	
	BJ	6,551,679	04/22/03	Kuroda et al.	428	64.1	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	BK	62-204442	09/09/87	JP (+ Abstract in English)		
	BL	62-245545	10/26/87	JP (+ Abstract in English)		
	BM	01-158633	06/21/89	JP (+ Abstract in English)		
	BN	2-152029	06/12/90	JP (+ Abstract in English)		
	BO	0 474 311 A1	03/11/92	EP		
	BP	4-86283	03/18/92	JP (+ Abstract in English)		
	BQ	4-226785	08/17/92	JP (+ Abstract in English)		
	BR	6-171236	06/21/94	JP (+ Abstract in English)		

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

BS	
EXAMINER	DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
890050.433APPLICATION NO.
10/613,525INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)

APPLICANTS

Hiroyasu Inoue et al.

FILING DATE

July 3, 2003

GROUP ART UNIT

2655

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CA	6,608,799	08/19/03	Hozumi	369	13.26	
	CB	2001/0012257	08/09/01	Suzuki et al.	369	94	
	CC	2001/0021160	09/13/01	Shuy et al.	369	100	
	CD	2001/0044002	11/22/01	Shingai et al.	428	64.4	
	CE	2002/0076646	06/20/02	Zhou et al.	430	270.13	
	CF	2003/0190551	10/09/03	Aoshima et al.	430	270.12	
	CG	2003/0202452	10/30/03	Mishima et al.	369	112.23	
	CH	2004/0052194	03/18/04	Inoue et al.	369	112.23	
	CI	2004/0157158	08/12/04	Kakiuchi et al.	430	270.12	

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	CJ	0 350 078 B1	03/09/94	EP		
	CK	0 822 543 A1	02/04/98	EP		
	CL	10-76755	03/24/98	JP (+ Abstract in English)		
	CM	0 978 831 A2	02/09/00	EP		
	CN	2000-187884	07/04/00	JP (+ Abstract in English)		
	CO	1 028 421 A2	08/16/00	EP		
	CP	1 154 413 A2	11/14/01	EP		
	CQ	WO 01/93256 A1	12/06/01	WIPO		
	CR	1330368 A	01/09/02	CN		

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CS	
EXAMINER	DATE CONSIDERED

* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

FORM PTO-1449
(REV. 7-80)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
890050.433APPLICATION NO.
10/613,525INFORMATION DISCLOSURE STATEMENT
(Use several sheets if necessary)APPLICANTS
Hiroyasu Inoue et al.FILING DATE
July 3, 2003GROUP ART UNIT
2655

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	DA						
	DB						
	DC						
	DD						
	DE						
	DF						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	DG	1 172 811 A2	01/16/02	EP		
	DH	1 178 472 A2	02/06/02	EP		
	DI	2002-104317	04/10/02	JP (+ Abstract in English)		
	DJ	2002-120458	04/23/02	JP (+ Abstract in English)		
	DK	2002-269808	09/20/02	JP (+ Abstract in English)		
	DL	0 947 351 B1	09/25/02	EP		
	DM	2003-54135	02/26/03	JP (+ Abstract in English)		
	DN	2003-85778	03/20/03	JP (+ Abstract in English)		
	DO	1 351 230 A2	10/08/03	EP		
	DP	1 139 340 B1	06/16/04	EP		

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	DQ	
	DR	
	DS	

EXAMINER

DATE CONSIDERED

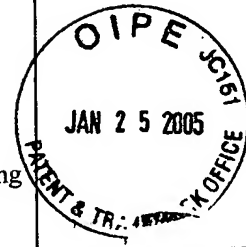
* EXAMINER: Initial if reference considered, whether or not criteria is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).

890050.433
HXH:ja

SENT: January 21, 2005

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date Stamp



Kindly acknowledge receipt of the below-listed documents by placing your receiving stamp hereon and mailing:

Transmittal Form; Supplemental Information Disclosure Statement; Form PTO-1449; Cited References (35); in re: Hiroyasu Inoue et al., USAN 10/613,525, filed July 3, 2003, for OPTICAL RECORDING MEDIUM AND METHOD FOR RECORDING AND REPRODUCING DATA.

552589

SEED INTELLECTUAL PROPERTY LAW GROUP PLLC

A handwritten signature, possibly "LS", in cursive script.